

11002 U.S. PRO
09/931643
08/15/01

SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schofield, et al. Atty Dkt: 2204/A90
Serial No: Not yet assigned Date: August 15, 2001
Date Filed: Herewith
Invention: Optical Switch Router

Commissioner for Patents
Washington, DC 20231

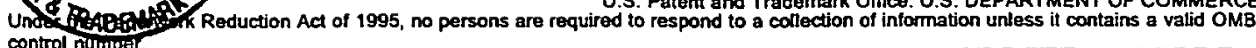
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'
INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.	Init.	No.	Name
	U	AA	"Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.
	U	AB	"Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.
	U	AC	Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et, al., February 2000.
	U	AD	Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.
	U	AE	"Architecture for the Automatic Switched Optical Network" First Draft of G.ason, Mayer, September 13, 2000 - September 15, 2000.
	U	AF	"Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.
	U	AG	Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.
	U	AH	"ASON - Requirements at the Client API", Newsome, et. al., September 2000.
	U	AI	"Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.
	U	AJ	"MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: http://www.networkmagazine.com/article/NMG20000426S0015 .

Examiner: Christina Y. Henry

Date Considered: 9-30-03



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/931,643
Filing Date	August 15, 2001
First Named Inventor	SCHOFIELD, Bruce A.
Art Unit	2633
Examiner Name	Unassigned
Attorney Docket Number	13071BAUS02U

U.S. PATENT DOCUMENTS

class/sub

$398/85$
 $398/83$
 $398/83$
 $398/83$
 $398/59$
 $398/48$
 $385/24$

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Christina Y. Lewis

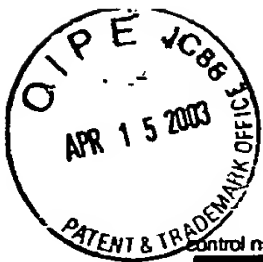
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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/931643
				Filing Date	8/15/2001
				First Named Inventor	Schofield
				Art Unit	2633
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	13071BAUS02U 120-173

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
cl		US-5,889,600	03/30/1999	McGuire	
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
cl		IPER for PCT/US01725520		Nortel Networks Ltd.		

Examiner Signature	<i>Christina Y. Leung</i>	Date Considered	9-30-03
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³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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